

#3

DEC 26 2000

~~RECEIVED~~

© 2001

Group 2100

© 2001

up 2100

© 2001

Group 2100

© 2001

up 2100



1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112	113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128	129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144	145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160	161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176	177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192	193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208	209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224	225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240	241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256	257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272	273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288	289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304	305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320	321	322	323	324	325	326	327	328	329	330	331	332	333	334	335	336	337	338	339	340	341	342	343	344	345	346	347	348	349	350	351	352	353	354	355	356	357	358	359	360	361	362	363	364	365	366	367	368	369	370	371	372	373	374	375	376	377	378	379	380	381	382	383	384	385	386	387	388	389	390	391	392	393	394	395	396	397	398	399	400	401	402	403	404	405	406	407	408	409	410	411	412	413	414	415	416	417	418	419	420	421	422	423	424	425	426	427	428	429	430	431	432	433	434	435	436	437	438	439	440	441	442	443	444	445	446	447	448	449	450	451	452	453	454	455	456	457	458	459	460	461	462	463	464	465	466
---	---	---	---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----

2

full

16

1

ed to

01	

--	--

•

1

OFGS FILE NO. 173331-141

UNITED STATES OF AMERICA

COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; that I verify believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COMPOUND ORDER HANDLING IN AN ANONYMOUS TRADING SYSTEM

the specification of which is attached hereto, unless the following box is checked:

☒ was filed on June 23, 2000 as United States patent Application Number or PCT International patent application number 09/603,389 and was amended on _____ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate having States provisional application(s) listed below and have also identified below any foreign application for patent or inventor's certificate having date before that of the application on which priority is claimed:

Country	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
			YES ___ NO ___
			YES ___ NO ___
			YES ___ NO ___

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

UNITED STATES APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

JAN 10 2001

Group 2-00

I hereby appoint customer no. 2352 OSTROLENK, FABER, GERB & SOFFEN, LLP, and the members of the firm, Samuel H. Weiner - Reg. No. 18,510; Jerome M. Derliner - Reg. No. 18,653; Robert C. Faber - Reg. No. 24,322; Edward A. Meilman - Reg. No. 24,735; Stanley H. Lieberstein - Reg. No. 22,400; Steven I. Weisburd - Reg. No. 27,409; Max Moskowitz - Reg. No. 30,576; Stephen A. Soffen - Reg. No. 31,063; James A. Finner - Reg. No. 30,173; William O. Gray, III - Reg. No. 30,944; Louis C. Dujmich - Reg. No. 30,625 and Douglas A. Miru - Reg. No. 31,643, as attorneys with full power of substitution and revocation to prosecute this application, to transact all business in the Patent & Trademark Office connected therewith and to receive all correspondence.

SEND CORRESPONDENCE TO: OSTROLENK, FABER, GERB & SOFFEN, LLP DIRECT TELEPHONE CALLS TO:
1180 AVENUE OF THE AMERICAS
NEW YORK, NEW YORK 10036-8403
CUSTOMER NO. 2352 (212) 382-0700

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE OR FIRST INVENTOR Vladimir NEYMAN	INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) West Orange, New Jersey	COUNTRY OF CITIZENSHIP	
POST OFFICE ADDRESS 275 Northfield Avenue, West Orange, New Jersey 07052		
FULL NAME OF SECOND JOINT INVENTOR (if any) Steven IACCHEO	INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) Lincoln Park, New Jersey	COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 41 West William Street, Lincoln Park, New Jersey 07035		
FULL NAME OF THIRD JOINT INVENTOR (if any) Neena JAIN	INVENTOR'S SIGNATURE <i>Neena</i>	DATE Oct 13, 2000
RESIDENCE (City and either State or Foreign Country) South Plainfield, New Jersey	COUNTRY OF CITIZENSHIP United Kingdom	
POST OFFICE ADDRESS 3756 Victory Street, South Plainfield, New Jersey 07080		

☒ CONTINUED ON PAGE 2

UNITED STATES OF AMERICA COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION			OFGS FILE NO. P/3331-141
COUNTRY	APPLICATION NUMBER	DATE OF FILING <i>(day, month, year)</i>	PRIORITY CLAIMED UNDER 35 U.S.C. 119
			YES ___ NO ___
			YES ___ NO ___
			YES ___ NO ___
			YES ___ NO ___
			YES ___ NO ___
			YES ___ NO ___
			YES ___ NO ___
			YES ___ NO ___
			YES ___ NO ___
			YES ___ NO ___
			YES ___ NO ___
			YES ___ NO ___
			YES ___ NO ___
			YES ___ NO ___
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>			
FULL NAME OF FOURTH JOINT INVENTOR, IF ANY James SHU	INVENTOR'S SIGNATURE	DATE JAN 1 2000	
RESIDENCE (City and either State or Foreign Country) East Hanover, New Jersey		COUNTRY OF CITIZENSHIP Group	
POST OFFICE ADDRESS 42 Tilden Drive, East Hanover, New Jersey 107936			
FULL NAME OF FIFTH JOINT INVENTOR, IF ANY Edward R. HOWORKA	INVENTOR'S SIGNATURE	DATE Oct 13 2000	
RESIDENCE (City and either State or Foreign Country) Morris Plains, New Jersey		COUNTRY OF CITIZENSHIP United States POLAND	
POST OFFICE ADDRESS 2043 Gates Court, Morris Plains, New Jersey 07950			
FULL NAME OF SIXTH JOINT INVENTOR, IF ANY Andrew P. FORAY	INVENTOR'S SIGNATURE	DATE	
RESIDENCE (City and either State or Foreign Country) Wayne, New Jersey		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 21 New Street, Wayne, New Jersey 07470			
FULL NAME OF SEVENTH JOINT INVENTOR, IF ANY Michael S. MEROLD	INVENTOR'S SIGNATURE	DATE 10/13/2000	
RESIDENCE (City and either State or Foreign Country) Sparta, New Jersey		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 14 Walkill Road, Sparta, New Jersey 07871			

DEC 26 2000
JC42
O I P E
PATENT & TRADEMARK OFFICE

RECEIVED

~~JAN 10 2001~~

~~Group~~ 2100

ERH

OFGS FILE NO. 1/3331-141

UNITED STATES OF AMERICA
COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COMPOUND ORDER HANDLING IN AN ANONYMOUS TRADING SYSTEM

the specification of which is attached hereto, unless the following box is checked:

☒ was filed on June 23, 2000 as United States patent Application Number or PCT International patent application number 09/603,389 and was amended on _____ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to be material to patentability in accordance with Title 37, Code of Federal Regulations §1.56.

I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate or United States provisional application(s) listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign or Provisional Application(s)

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC §119
			YES ___ NO ___
			YES ___ NO ___
			YES ___ NO ___

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

UNITED STATES APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

I hereby appoint customer no. 2352 OSTROLENK, FABER, GERB & SOFFEN, LLP, and the members of the firm, Samuel H. Welper - Reg. No. 18,510; Jerome M. Derliner - Reg. No. 18,653; Robert C. Faber - Reg. No. 24,322; Edward A. Meilman - Reg. No. 24,735; Stanley H. Lieberman - Reg. No. 22,400; Steven I. Welsburd - Reg. No. 27,409; Max Moskowitz - Reg. No. 30,576; Stephen A. Soffen - Reg. No. 31,063; James A. Finder - Reg. No. 30,173; William O. Gray, III - Reg. No. 30,944; Louis C. Dujmich - Reg. No. 30,625 and Douglas A. Mitró - Reg. No. 31,643, as attorneys with full power of substitution and revocation to prosecute this application, to transact all business in the Patent & Trademark Office connected therewith and to receive all correspondence.

SEND CORRESPONDENCE TO: **OSTROLENK, FABER, GERB & SOFFEN, LLP** DIRECT TELEPHONE CALLS TO:
 1180 AVENUE OF THE AMERICAS (212) 382-0700
 NEW YORK, NEW YORK 10036-8403
 CUSTOMER NO. 2352

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE OR FIRST INVENTOR Vladimir NEYMAN		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) West Orange, New Jersey		COUNTRY OF CITIZENSHIP	
POST OFFICE ADDRESS 275 Northfield Avenue, West Orange, New Jersey 07052			
FULL NAME OF SECOND JOINT INVENTOR (IF ANY) Steven IACCHEO		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) Lincoln Park, New Jersey		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 41 West William Street, Lincoln Park, New Jersey 07035			
FULL NAME OF THIRD JOINT INVENTOR (IF ANY) Neena JAIN		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) South Plainfield, New Jersey		COUNTRY OF CITIZENSHIP United Kingdom	
POST OFFICE ADDRESS 3756 Victory Street, South Plainfield, New Jersey 07080			

☒ CONTINUED ON PAGE 2

00459256.1

12 OCT '00 21:10

212 521 4659

PAGE.21

OFGS FILE NO. 1/3331-141

UNITED STATES OF AMERICA
COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that my residence, post office address and citizenship are, as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COMPOUND ORDER HANDLING IN AN ANONYMOUS TRADING SYSTEM

the specification of which is attached hereto, unless the following box is checked:

☒ was filed on June 23, 2000 as United States patent Application Number or PCT International patent application number 09/603,389 and was amended on _____ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by amendment referred to above.

I acknowledge the duty to disclose all information known to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate or United States provisional application(s) listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign or Provisional Application(s)

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
			YES ___ NO ___
			YES ___ NO ___
			YES ___ NO ___

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

UNITED STATES APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

I hereby appoint customer no. 2352 OSTROLENK, FABER, GERB & SOFFEN, LLP, and the members of the firm, Samuel H. Weiner - Reg. No. 18,510; Jerome M. Derliner - Reg. No. 18,653; Robert C. Faber - Reg. No. 24,322; Edward A. Meilman - Reg. No. 24,735; Stanley H. Lieberman - Reg. No. 22,400; Steven L. Weisburd - Reg. No. 27,409; Max Moskowitz - Reg. No. 30,576; Stephen A. Soffen - Reg. No. 31,063; James A. Finder - Reg. No. 30,173; William O. Gray, III - Reg. No. 30,944; Louis C. Dujmali - Reg. No. 30,625 and Douglas A. Miru - Reg. No. 31,643, as attorneys with full power of substitution and revocation to prosecute this application, to transact all business in the Patent & Trademark Office connected therewith and to receive all correspondence.

SEND CORRESPONDENCE TO: **OSTROLENK, FABER, GERB & SOFFEN, LLP** DIRECT TELEPHONE CALLS TO:
 1180 AVENUE OF THE AMERICAS (212) 382-0700
 NEW YORK, NEW YORK 10036-8403
 CUSTOMER NO. 2352

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE OR FIRST INVENTOR Vladimir NEYMAN		INVENTOR'S SIGNATURE <i>Vladimir Neyman</i>	DATE 11/18/00
RESIDENCE (City and either State or Foreign Country) West Orange, New Jersey		COUNTRY OF CITIZENSHIP USA	
POST OFFICE ADDRESS 275 Northfield Avenue, West Orange, New Jersey 07052			
FULL NAME OF SECOND JOINT INVENTOR (IF ANY) Steven IACCHEO		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) Lincoln Park, New Jersey		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 41 West William Street, Lincoln Park, New Jersey 07035			
FULL NAME OF THIRD JOINT INVENTOR (IF ANY) Neena JAIN		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) South Plainfield, New Jersey		COUNTRY OF CITIZENSHIP United Kingdom	
POST OFFICE ADDRESS 3756 Victory Street, South Plainfield, New Jersey 07080			

☒ CONTINUED ON PAGE 2

00459256.1

RECEIVED

